

Practitioner's Docket No. <u>U 015796-2</u>



PATENT TRADEMARK OFFICE

CHAPTER II

TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US)

(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

(ENTRY INTO U.S. NATIONAL TERM	ING DATE PRIORITY DATE CLAIMED
INTERNATIONAL APPLICATION NO. INTERNATIONAL FIL O4 DECEMBER	DECHMBER 2002
PCT/AU2003/001611 TITLE OF INVENTION A CLADDING ELEM	
APPLICANT(S) CHARLWOOD, Grant	

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: EO/US

COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371

(check and complete the applicable item, if applicable)

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905). [X]

A copy of FORM PCT/DO/EO/905 accompanies this response. [X]

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

I hereby certify that this Completion of Filing Requirements and the papers indicated as being transmitted therewith are being the latter of t deposited with the United States Postal Service on this date NOVEMBER 16, 2005, in an envelope as "Express Mail Post Office to Addresses" Mailing Label Number EV 490 462 242 He addressed to the Commissioner for Detection D. O. D. D. deposited with the Office to Addressee," Mailing Label Number EV 480 462 243 US, addressed to the: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

(type or print name of-person mailing paper)

Signature of person mailing paper

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label

values the filing of correspondence under \S 1.10 without the Express Mail mailing label thereon is an placed thereon prior to mailing. 37 C.F.R. 1.10(b). oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Completion of Filing Requirements for International Application Entering U.S. Elected Office (EO/US)

EXPRESS MAIL LABEL NO.: EV 480 462 234 US





WARNING:

Where the items being submitted to complete the entry of the international application into the national phase are subsequent to 30 months from the priority date the application is still considered to be in the international state and if mailing procedures are utilized to obtain a date the express mail procedure of 37 C.F.R. § 1.10 must be used (because international application papers are not covered by an ordinary certificate of mailing. 37 C.F.R. § 108(2)(xi).

NOTE:

Documents and fees must be clearly identified as a submission to enter the national stage under 35 U.S.C. § 371. Otherwise, the submission will be considered as being made under 35 U.S.C. § 111. 37 C.F.R. § 1.495(g).

DECLARATION OR OATH

NOTE: 37 C.F.R. § 1.495(c): "If applicant complies with paragraph (b) of this section before expiration of thirty months from the priority date but omits . . . the oath or declaration of the inventor (35 U.S.C. 371(c)(4) and § 1.497), if a declaration of inventorship in compliance with § 1.497 has not been previously submitted in the international application under PCT Rule 4.17 (iv) within the time limits provided for in PCT Rule 26ter.1, applicant will be so notified and given a period of time within which to file the . . . oath or declaration in order to prevent abandonment of the application The payment of the surcharge set forth in § 1.492(e) is required for acceptance of the oath or declaration of the inventor later than the expiration of thirty months after the priority date."

I. (a) [X] Enclosed is an original declaration or oath for this application.

OR

(b) [] The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

NOTE:

For surcharge fee for filing declaration after filing date complete item IV(3)

NOTE:

- NOTE: Acceptable minimums in the declaration in an ordinarily filed U.S. application for identification of the specification to which it applies are:
- (A) application number (consisting of the series code and the serial number, e.g., 08/123,456);
 - serial number and filing date;
- (C) attorney docket number which was on the specification as filed;
- (D) title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or
- (E) title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration.

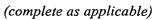
M.P.E.P. § 602, 8th ed.

NOTE:

Another minimum found acceptable in the declaration is the filing date (i.e., date of express mail) and the express mail number, useful where the serial number is not yet known. But note the practice where the express mail deposit is a Saturday, Sunday or holiday within the District of Columbia. 37 C.F.R. § 1.10(c).

NOTE:

See 37 C.F.R. § 1.41(a).



	Attacl	ned is a			
	(a)	[]	Statement by practitioner that papers attached to declar those filed in PTO to get a filing date	ation are a copy of	
	(b)	[]	Statement that substitute specification contains no new	matter.	
	(c)	[]	Preliminary Amendment		
	(d)	[]	Submission of "Sequence Listing," computer readable of amendment pertaining thereto for biotechnology inventional nucleotide and/or amino acid sequence		
			TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS		
II.	[]	Submitted herewith is an English translation of the non-English language international application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO. (See 37 C.F.R. 1.495(c))			
NOTE:		For fee	for processing a non-English application, complete item IV(4).		
NOTE:		A non-English oath or declaration in the form provided or approved by the PTO need not be translated. 37 C.F.R. \S 1.69(b).			
			FEES		
III.					
NOTE	: See 37 (C.F.R. § 1	.28(a).		
1.	Fees f	or searcl	h, exam or claims		
-	[]		J.S. Search Report filed —\$400.00; entity—\$200.00	\$	
	[]	No Se	earch Report —\$500.00; small entity —\$250.00	\$	
	[]	Exam	Fee not paid to U. S-\$200.00; small entity-\$100.00	\$	
,	[]		h and Exam fee with U.S. WO or IPER conditions tisfied—\$100.00; small entity—\$50.00	\$	
	[]		h and Exam fee with U.S. WO or IPER conditions	\$	

	[]	37 C.F.R. 1.16(s)—\$250.00	\$
	[]	Each independent claim in excess of 3 (37 C.F.R. § 1.492—\$200.00; small entity—\$100.00	\$
	[]	each claim in excess of 20 (37 C.F.R. § 1.492—\$50.00; small entity—\$25.00	\$
	[]	multiple dependent claims(s) (37 C.F.R. § 1.492—\$360.00; small entity—\$180.00	\$
2.	Surcha	arge fees	
•	[X]	surcharge set forth in 37 C.F.R. § 1.492(e) for accepting the declaration later than 30 months after the priority date in filing an application in the U.S. as a designated office—\$130.00; small entity—\$65.00	\$ <u>65.00</u>
NOT	E: The pro	cessing fee in the next item 3 below is not subject to a reduction for small entity	status.
3.	[]	processing fee set forth in 37 C.F.R. § 1.492(f) for acceptance of an English translation later than 30 months after the priority date—\$130.00	\$
		Total Fees	\$65.00_
		SMALL ENTITY STATUS	
IV.	a.	[X] A Statement or Written Assertion that this filing is by	a small entity
	NOTE:	See 37 C.F.R. § 1.28(a).	
		(check and complete applicable items)	·
		 [X] is attached. [] was filed on [] was made by paying the basic national fee as a 	small entity.
	b.	[] A separate refund request accompanies this paper.	
MKAYPAGH	00000103	10536930	
		65.00 OP	

11/21/2005 01 FC:2617

EXTENSION OF TIME

(complete (a) or (b), as applicable)					cable)					
V. § 1.13	The pro		s herein are for	a paten	t a	pplication. Acc	cordingly, t	he provisi	ons of 37 C.I	F.R.
	(a) [] Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a)(1)-(4), for the total number of months checked out below						it in elow			
		Exten (mon				or other than nall entity			ee for all entity	
	[]	one m	onth		\$	120.00		\$	60.00	
	[]	two m	nonths		\$	450.00		\$	225.00	
	[]	three 1	months		\$	1,020.00		\$	510.00	
	[]	four n	nonths		\$	1,590.00		\$	795.00	
NOTE: The 2-month time period for reply to A Notice to File Missing Parts of an Application is not ident the Notice as a statutory period subject to 35 U.S.C. 133. Thus, extensions of time of up to 5 month 37 CFR 1.136(a), followed by additional time under 37 CFR 1.136(b), when appropriate, are per MPEP 710.02(d)(c), 8 th ed.					s unde					
	[]	five m	nonths		\$:	2,160.00		\$ 1	,080.00	
							Fee:	\$		
	If an ad	lditional	extension of tir	ne is rec	Įui	ired, please con	sider this a	petition t	herefor.	
			(check and	comple	te	the next item, i	f applicabl	(e)		
	[]	of \$	ension for	is deduc	nc :te	onths has alread d from the tota	ly been sec I fee due fo	ured. The r the total	fee paid ther months of	efor
		Extensi	ion fee due with	this red	Įuε	est \$				
						or				
(b)	[X]	petition	ant believes that is being made sked the need for	to provi	de	for the possibi	lity that ap	plicant ha	nis conditiona s inadvertent	al :ly

TOTAL FEE DUE

X 7 T		TOTALTELDEL
VI.	The to	tal fee due is: Completion fee(s) \$65.00 Extension fee (if any) \$ TOTAL FEE DUE \$65.00
****		PAYMENT OF FEES
VII.	[X] [] []	Enclosed is a check in the amount of \$65.00 Charge Account No. 12-0425 in the amount of \$A duplicate of this request is attached.
NOT	E: Fees sh	ould be itemized in such a manner that it is clear for which purpose the fees are paid. 37 C.F.R. § 1.22(b).
VIII.		AUTHORIZATION TO CHARGE ADDITIONAL FEES
WARN	VING:	Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges if extra claims are authorized.
NOTE:		"A written request may be submitted in an application that is an authorization to treat any concurrent of future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under \S 1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of the fee set forth in \S 1.17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. \S 1.136(a)(3).
NOTE:		"Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. \S 1.26(a).
	[X]	The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to Account No. 12-0425
		[X] 37 C.F.R. § 1.492(a), (b) or (c) (search or exam fee) [] 37 C.F.R. § 1.492(b), (c), and (d) (presentation of extra claims)
NOTE:		Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action
		 [X] 37 C.F.R. 1.16(s) (spec and drawing, each 50 pages over 100) [X] 37 C.F.R. § 1.17 (application processing fees) [X] 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a)).

Section 1.311(b) provides that an authorization to charge the issue fee (§ 1.18) to a deposit account may be filed in an individual application only after the mailing of the notice of allowance. Accordingly, general authorizations to any fees and specific authorizations to pay the issue fee that are filed prior to the mailing of a notice of allowance will generally not be treated as requesting payment of the issue fee and will not be given effect to act as a reply to the notice of allowance. Applicant, when paying the issue fee, should submit a new authorization to charge fees, such as by completing box 6b on the current PTOL 85-B form. Where no reply to the notice of allowance is received, the application will stand abandoned notwithstanding the presence of general authorizations to pay fees or a specific authorization to pay the issue fee that were submitted prior to mailing of the notice of allowance. Where an attempt is made to pay the issue fee but an incorrect amount is submitted, § 1,311(b)(1), or where the Office's issue fee transmittal form (currently PTOL-85(B)) is completed by applicant ans submitted, § 1.311 (b)(2), in reply to a notice of allowance, an exception will be made. Such submissions will operate as a request to charge the issue fee to any deposit account identified in a previously filed (i.e., submitted prior to the mailing of the notice of allowance) authorization to charge fees, and will be allowed to act as payment of the correct issue fee. § 1.311(b). See also the change to § 1.26(b), Notice of September 8, 2000, Fed. Reg. 54603-54683, at 54646 and 54647.

[X] 37 C.F.R. § 1.492(e) and/or (f) surcharge fees for filing the declaration and/or an English translation of an international application later than 30 months from the earliest-claimed priority date.

WARNING:

It would be wise to always check this last authorization.

Refund any overpayment to deposit account 12-042

SIGNATURE OF PRACTITIONER

<u>JULIAN H. COHEN</u>

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP 26 West 61st Street New York, N.Y. 10023

Reg. No.: 20,302

Tel. No.: (212)708-1887

Customer No.:

00140

PATENT TRADEMARK OFFICE



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMIT United States Patent and Trademurk Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/536,930

Grant Charlwood

U 015796-2

PCT/AU03/01611

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE

PRIORITY DATE

12/04/2003

12/04/2002

140 LADAS & PARRY 26 WEST 61ST STREET NEW YORK, NY 10023

RECEIVED NOV - 2 2005 L&PLLP

CONFIRMATION NO. 6576 371 FORMALITIES LETTER *OC000000017322190*

Date Mailed: 10/28/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/31/2005
- Copy of the International Search Report filed on 05/31/2005
- Preliminary Amendments filed on 05/31/2005
- Small Entity Statement filed on 05/31/2005
- Request for Immediate Examination filed on 05/31/2005
- U.S. Basic National Fees filed on 05/31/2005
- Priority Documents filed on 05/31/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/536,930	PCT/AU03/01611	U 015796-2

FORM PCT/DO/EO/905 (371 Formalities Notice)

Practitioner's Docket No. <u>U 015796-2</u>

Optional Customer No. Bar Code



PATENT TRADEMARK OFFICE

CHAPTER II

TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US) (ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/AU2003/001611	04 DECEMBER 2003	04 DECEMBER 2002
TITLE OF INVENTION		
	A CLADDING ELEMENT	
APPLICANT(S)		
CHARLW	OOD, Grant	

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: EO/US

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;

Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

М	•	elope addressed to the Commissioner for Patents, P. O. Box
	1450, Alexandria, VA 22313-1450. 37 C.F.R. 1.8(a)	37 C.F.R. 1.10*
	with sufficient postage as first class mail.	as "Express Mail Post Office to Addressee" Mailing Label No. EV 480 462 234 US (mandatory)
	TRAN	SMISSION
	TRAN transmitted by facsimile to the Patent and Trademark Off	ice. to (703) 872-9306 - Wast

Date: November 16, 2005

Signature

Geraldine Marti

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

NOTE:	37 C.F.R. 1.98(b):	
	(1)	Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.
	(2)	Each U.S. patent application published listed in an information disclosure statemen shall be identified by applicant, patent application publication number, and publication date.
	(3)	Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
	(4)	Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
	(5)	Each publication listed in an information disclosure statement must be identified by publisher, author (fi any), title, relevant pages of the publication,,date, and place of publication.
WARNI	NG:	No extension of time can be had under 37 C.F.R. \S 1.36 (a) or (b) for filing an IDS. 37 C.F.R. \S 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. 1:97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1:53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

NOTE:	"No certification or fee is due when the filing is made within the above time period. It is advisable to ensure
	that no Office action has been mailed if the disclosure statement is delayed until after three months from
	filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39). NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

If a fee is required, please charge deposit account 12-0425.

Reg. No. 20,302

Tel. No.: (212)708-1887

Customer No.: 00140

SIGNATURE OF PRACTITIONER

JULIAN H. COHEN

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP 26 West 61st Street New York, N.Y. 10023